

# Code of Conduct for Suppliers of Ascentage Pharma Group International

The Ascentage Pharma Group International and its subsidiaries ( ‘Ascentage’ or ‘we’ ) are committed to the sustainability of our business activities and to high ethical standards. Suppliers to Ascentage shall conduct their business in compliance with all applicable national and regional laws and regulations, as well as internationally recognized environmental, social and corporate governance standards, including, but not limited to, those relating to business ethics, quality, labor and employment, health, safety and environmental protection, as well as customs and export controls, and shall obtain and maintain qualifications and licenses to conduct their business and shall operate in good faith and in accordance with law. operate in good faith and in accordance with the law.

Suppliers are expected to strictly adhere to the business practices set forth in the *Code of Conduct for Suppliers of Ascentage Pharma Group International* (the ‘Code’ ) in all of their business activities, as well as all of the principles and expectations set forth in other policies that may be communicated to suppliers by Ascentage from time to time. Ascentage reserves the right to evaluate suppliers' compliance with the Code by means of questionnaires, document reviews or on-site audits, either on their own or through designated third parties. If any violations of this Code are found, Ascentage reserves the right to require corrective action and to terminate the relationship with any supplier who fails to comply with or violates this Code.

We will review this Code periodically and revise it as necessary. It is the supplier's responsibility to ensure that they have read, understood and complied with this Code.

## Business Ethics

### Fair Competition

Business should be conducted in an ethical manner, adhering to fair standards of business conduct, including accurate and truthful quotation schemes and fair competitive practices, and prohibiting commercial fraud such as price alliances and bid-rigging.

### Anti-Corruption

Strictly comply with local applicable anti-corruption laws and regulations, prohibit any form of corruption, embezzlement, bribery and other improper transfer of benefits; suppliers are required to timely and truthfully report the existence of potential conflicts of interest and take relevant control or avoidance measures, prohibit the illegal provision of kickbacks, gratuities and gifts of securities and valuable gifts, relatives and friends, etc. for any reason. Work, outbound and domestic travel arrangements, and other improper behaviors.

### Duty of confidentiality

Prohibit infringement of intellectual property rights and protect intellectual property rights from improper use.

Suppliers shall properly protect and use any confidential information provided by Ascentage.

## Quality

### Quality Control Standards

The supplier should establish and maintain quality control standards to ensure that the products and services provided meet the quality needs of the customer.

### Change Notification

Suppliers shall notify the relevant business leaders of Ascentage in advance of any changes in product specifications, service programs, secondary suppliers, raw materials, component manufacturers or origins, and explain the potential impact.

## **Labor and Employment**

### **Legal Employment**

Suppliers shall comply with applicable labor and employment laws and regulations to ensure that the legitimate rights and interests of employees are protected.

## **Health, Safety and Environmental Protection**

### **Safe Production**

Suppliers shall comply with relevant health and safety laws and regulations to ensure safety in the production process; provide necessary safety facilities and equipment to ensure the safety of employees and visitors.

Regularly carry out occupational health-related assessments and tests and implement occupational health monitoring programs for employees; formulate occupational health and safety-related emergency plans and conduct regular drills.

Provide necessary occupational health and safety training to employees.

Resident suppliers are required to comply with all Ascentage's occupational health and safety-related management systems and regulations.

### **Environmental Protection**

Suppliers shall follow internationally recognized environmental protection standards, comply with local environmental protection related regulations, take effective measures to reduce environmental impact and ensure compliant operations.

Encourage the implementation of waste reduction measures, and reduce or avoid the generation of waste gas, wastewater and hazardous waste as far as possible through the improvement of process technology and the selection of clean raw materials.

Adopt efficient operation methods as far as possible, improve energy efficiency, reduce greenhouse gas emissions, and endeavor to reduce the environmental impact of its own business practices.

## **Customs, trade and export controls**

### **Compliance with international trade and export control regulations**

Suppliers shall strictly comply with customs laws, international trade and export control regulations and ensure that all transactions are in accordance with the requirements of the relevant laws and regulations and with national and international practices.

## **Compliance Management**

### **Compliance Training**

Suppliers should conduct regular compliance training or education for employees to improve their compliance awareness and ability.

### **Internal Supervision**

Suppliers should establish internal monitoring mechanisms to ensure that compliance requirements are effectively implemented.

## **Integrity & Transparency**

### **Integrity in Business**

Suppliers should be honest, provide truthful information about their products and services, and refrain from false advertising.

## **Transparent Communication**

Suppliers shall maintain good communication with Ascentage and provide timely and accurate feedback on business progress and issues.

### Reporting Channels

Ascentage has a smooth monitoring and reporting channel. Suppliers can use the reporting channel to report any violation of this Code by Ascentage's employees or other suppliers.

Email: [Compliance.communication@ascentage.com](mailto:Compliance.communication@ascentage.com)

Have read, understood and complied with the *Code of Conduct for Suppliers of the Ascentage Pharma Group*

Signature:

Date:

Stamp: